



SECTOR \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ritzdorf, Thomas L., *et al.* )  
For: METHOD AND APPARATUS FOR LOW- )  
TEMPERATURE ANNEALING OF )  
METALLIZATION MICROSTRUCTURES )  
IN THE PRODUCTION OF A )  
MICROELECTRONIC DEVICE )  
Serial No.: 09/386,734 )  
Filed: August 31, 1999 )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: **Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231**, on the date below.

*Margaret M. Kipley*

October 28, 1999

(Date)

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

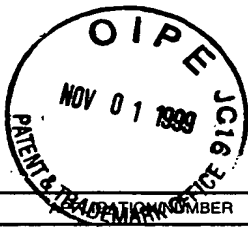
In response to the Notice to File Missing Parts of Application mailed September 17, 1999, with a two month period for response, the applicants are submitting herewith: a copy of the notice to be returned with response, the executed declaration, and a check in the amount of \$890.00 to cover the \$130.00 surcharge for filing missing parts on a date later than the filing date for the application, and \$760.00 for the basic filing fee. Also, enclosed is a return postcard.

The Commissioner is authorized to charge or credit Account 04-1644 in the event that the enclosed fee is deficient or exceeds the requisite amount, or additional fees are deemed to be necessary.

Respectfully submitted,

By: *Lawrence J. Chapa*  
Lawrence J. Chapa, Reg. No. 39,135

Attorney Docket No.: SEM4492P0082US  
ROCKEY, MILNAMOW & KATZ, LTD.  
Two Prudential Plaza, 47th Floor  
180 North Stetson  
Chicago, Illinois 60601  
Telephone: 312-616-5400



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/386,734 08/31/99 RITZDORF

T SEM4492P00812

0262/0917

ROCKEY MILNAMOW & KATZ LTD  
TWO PRUDENTIAL PLAZA SUITE 4700  
180 NORTH STETSON AVENUE  
CHICAGO IL 60601

NOT ASSIGNED

1753

DATE MAILED:

09/17/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 890.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☒ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

AJONES

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

11/03/1999 09386734  
01 FC:101  
02 FC:105